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PTO/SB/05 (03-01)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 16]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 2 ]
5. Oath or Declaration [ Total Pages 2 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No \_\_\_\_\_ / \_\_\_\_\_

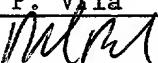
Prior application information    Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	 <i>(Insert Customer Number or Bar Code Label here)</i>		<input type="checkbox"/> Correspondence address below
Name			
Address	02574 PATENT TRADEMARK OFFICE		
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Mark P. Vela	Registration No. (Attorney/Agent)	43,973
Signature			Date 2/26/02

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JC971 U.S. PRO  
10/08/31/02  
02/26/02

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT \$ 1076.

## Complete if Known

Application Number	Unknown
Filing Date	February 26, 2002
First Named Inventor	Kenneth R. Schroll
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	10001-29977

## METHOD OF PAYMENT

## FEE CALCULATION (continued)

1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account 10-0460  
Number

Deposit  
Account JENNER & BLOCK, LLC  
Name

[X] Charge Any Additional Fee Required under  
37 CFR 1.16 and 1.17

[ ] Applicant claims small entity status. See 37 CFR 1.27.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	Code (\$)
101 740	201 370	Utility filing fee	\$ 740.
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
		SUBTOTAL	\$ 740.

## 2. EXTRA CLAIM FEES

Total Claims	18	- 20** =	Extra Claims	Fee From Below	Fee PAID
Independent Claims	7	- 3** =	4	X 84	= 336

Large Entity	Small Entity	Fee Description
Fee	Fee	Code (\$)
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent
		SUBTOTAL \$ 336

\*for number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Code (\$)	Fee
105 130	205 65	Surcharge-late filing fee or oath	\$ _____
127 50	227 25	Surcharge-late provisional filing fee or cover sheet	\$ _____
139 130	139 130	Non-English specification	\$ _____
147 2,520	147 2,520	For filing request for ex parte reexamination	\$ _____
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	\$ _____
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	\$ _____
115 110	215 55	Extension for reply within 1 <sup>st</sup> month	\$ _____
116 400	216 200	Extension for reply within 2 <sup>nd</sup> month	\$ _____
117 920	217 460	Extension for reply within 3 <sup>rd</sup> month	\$ _____
118 1,440	218 720	Extension for reply within 4 <sup>th</sup> month	\$ _____
128 1,960	228 980	Extension for reply within 5 <sup>th</sup> month	\$ _____
119 320	219 160	Notice of Appeal	\$ _____
120 320	220 160	Filing a brief in support of appeal	\$ _____
121 280	221 140	Request for oral hearing	\$ _____
138 1,510	138 1,510	Petition to institute public use proceeding	\$ _____
140 110	240 55	Petition to revive - unavoidable	\$ _____
141 1,280	241 640	Petition to revive - unintentional	\$ _____
142 1,280	242 640	Utility issue fee (or reissue)	\$ _____
143 460	243 230	Design issue fee	\$ _____
144 620	244 310	Plant issue fee	\$ _____
122 130	122 130	Petitions to the Commissioner	\$ _____
123 50	123 50	Processing fee under 37 CFR 1.17(q)	\$ _____
126 180	126 180	Submission of Information	\$ _____
581 40	581 40	Disclosure Statement	\$ _____
146 740	246 370	Recording each patent assignment per property (times # properties)	\$ _____
		Filing submission after final rejection (37 CFR § 1.129(a))	\$ _____
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	\$ _____
179 740	279 370	Request for Continued Examination (RCE)	\$ _____
169 900	169 900	Request for expedited examination of a design application	\$ _____
		Other fee (specify)	
		SUBTOTAL (3)	\$

\*Reduced by Basic Filing Fee Paid

## SUBTOTAL (3)

\$

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Mark P. Vrla	Registration No. (Attorney/Agent)	43,973	Telephone	312-222-9350
Signature		Date	February 26, 2002		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Kenneth R. Schroll
Title	Optical Fiber U-Turn Apparatus and Method
Atty Docket Number	10001-29977

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 26, 2002

Date



Signature

Mark P. Vrla

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.